

03-07-02

03/05/02 1135 U.S. PTO

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A

10/09/1668 03/05/02

Docket No.: TUC920010117US1
Date: March 5, 2002

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: DIMITRI et al.
For: Apparatus and Method to Provide Failover Protection to a Data Storage and Retrieval System

Enclosed are the following:

- ☒ Specification 25 pages; Claims 22 pages; Abstract 1 page
☒ Declaration and Power of Attorney
☒ Sheet(s) of drawings 10 pages
☒ An assignment of the invention to: International Business Machines Corporation
☐ A certified copy of _____ application No. _____, filed _____
☒ Prior Art Disclosure Statement
☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of _____ patent application
No. _____ filed _____.

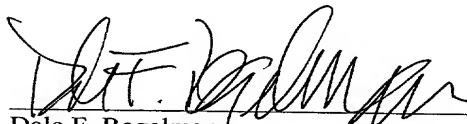
Benefit is hereby claimed under Title 35, United States Code 119(e) of United States provisional
application No. _____ filed _____.

The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$370.00	\$740.00
TOTAL CLAIMS:	60 - 20 =	x 9 =	40 x 18 = 720.00
INDEPENDENT CLAIMS:	12 - 3 =	x 42 =	9 x 84 = 756.00
MULT. DEPEND. CLAIMS:		+ 140 =	+ 280 =
TOTAL:		\$	\$2,216.00

☒ Form PTO-2038 authorizing credit card payment in the amount of \$2,256.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)


Dale F. Regelman
Attorney of Record
Reg. No. 45,625

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. ET956862505US Date of Deposit: March 5, 2002

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

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